IN THE UNITED STATES PATENTAND TRADEMARK OFFICE

Application No.:

10/534,081

Filed:

05/13/2005

1st Inventor:

FUKATSU, K.

For:

Receptor Function Regulator

Atty. Dkt. No.

3119US0P

Art Unit:

tba

Examiner:

tba

Allowed:

Batch:

Paper No.:

Request for Clarification of Notice to Comply with Sequence Listing Requirements

MAIL STOP SEQUENCE

Commissioner for Patents P.O. Box 1450 Arlington, VA 22313-1450 RECEIVED

OCT 1 9 2006

Sir:

OFFICE OF PETITIONS

In response to the Notice of Missing Requirements mailed 12/22/05.

The Sequences objected to are siRNA segments which incorporate dTd at the ends.

Applicants believe it was proper to identify the sequence as RNA, Artificial Sequence, and not as a DNA – DNA/RNA. A copy of the notice is attached.

Please Clarify what classification is requested for an siRNA sequence listing.

Respectfully submitted,

Dated: January 11, 2006

(847)383-3372

(847)383-3391

Mark Chao, Ph.D., Reg. No. 37,293

Elaine M. Ramesh, Ph.D., Reg. No. 43032

Attorney for Applicants Customer No. 23115

Takeda Pharmaceuticals North America, Inc. Intellectual Property Department 475 Half Day Road Lincolnshire, IL 60069 USA

CERTIFICATE OF FACSIMILE

TRANSMISSION UNDER 37 CFR 1.8

The undersigned hereby certifies that this paper(s) is(are) being transmitted via facsimile to the Commissioner for Patents, PO Box 1450, Arlington, VA 22313-1450 on this dateJan 11, 2006, to the

Central Fax Number 1-571-273

Mark Chao, Ph.D., Reg. No. 37,293



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P. Box 1450 Alexandra, Vaginis 22313-1450

		###:ntblo.gov	
LJ. S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	. ATTY, DOCKET NO.	
10/534,081	Kohji Fukatsu	3119 USOP	
		2112 O20b	

INTERNATIONAL APPLICATION NO. PCT/JP03/14139

TAKEDA PHARMACEUTICALS NORTH AMERICA, INC. INTELLECTUAL PROPERTY DEPARTMENT 475 HALF DAY ROAD

LA. FILING DATE 11/06/2003

PRIORITY DATE 11/08/2002

SUITE 500 LINCOLNSHIRE, IL 60069

(C;DEC 2 9 2005 I.P. DEPT

CONFIRMATION NO. 8962 371 FORMALITIES LETTER OC000000017713573*

Date Mailed: 12/22/2005

NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 CFR 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

RECEIVED FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

OCT 1 9 2006

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PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,081	PCT/JP03/14139	3119 USOP

FORM PCT/DO/EO/922 (371 Formalities Notice)

OCT 1 9 2006

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RAW SEQUENCE LISTING ERROR REPORT

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) detected errors when processing the following computer readable

Application Serial-Number:

Source:

Date Processed by STIC:

10/534,081

THE ATTACHED PRINTOUT EXPLAINS DETECTED ERRORS.
PLEASE FORWARD THIS INFORMATION TO THE APPLICANT BY EITHER:

1) INCLUDING A COPY OF THIS PRINTOUT IN YOUR NEXT COMMUNICATION TO THE APPLICANT, WITH A NOTICE TO COMPLY or,

2) TELEPHONING APPLICANT AND FAXING A COPY OF THIS PRINTOUT, WITH A NOTICE TO COMPLY

FOR CRF SUBMISSION AND PATENTIN SOFTWARE QUESTIONS, PLEASE CONTACT MARK SPENCER, TELEPHONE: 571-272-2510; FAX: 571-273-0221

TO REDUCE ERRORED SEQUENCE LISTINGS, PLEASE USE THE <u>CHECKER</u> <u>VERSION-4.2-2-PROGRAM</u>, ACCESSIBLE THROUGH THE U.S. PATENT AND TRADEMARK OFFICE WEBSITE. SEE BELOW FOR ADDRESS:

http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm

Applicants submitting genetic sequence information electronically on diskette or CD-Rom should be aware that there is a possibility that the disk/CD-Rom may have been affected by treatment given to all incoming mail. Please consider using alternate methods of submission for the disk/CD-Rom or replacement disk/CD-Rom. Any reply including a sequence listing in electronic form should NOT be sent to the 20231 zip code address for the United States Patent and Trademark Office, and instead should be sent via the following to the indicated addresses:

- 1. EFS-Bio (http://www.uspto.gov/ebc/efs/downloads/documents.htm, EFS Submission User Manual ePAVE)
- 2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
- Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):
 U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street.
 Alexandria, VA 22314

Revised 01/24/05

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OCT 1 9 2006

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3 <110> APPLICANT: FUKATSU, Kohji

RAW SEQUENCE LISTING
PATENT APPLICATION: US/10/534,081

DATE: 05/13/2005

TIME: 14:59:46

Input Set : A:\3119 USOP.seq.txt
Output Set: N:\CRF4\05132005\J534081.raw

4 SASAKI, Shinobu	
5 HINUMA, Shuji	OCT 1 0 2006
6 ITO, Yasuaki	OCT-1 9 2006
7 SUZUKI, Nobuhiro	
8 HARADA, Masataka	OFFICE OF PETITIONS
9 YASUMA, Tsuneo	011102
11 <120> TITLE OF INVENTION: Modulator of Receptor Function	
13 <130> FILE REFERENCE: 3119USOP	
-> 15 <140> CURRENT APPLICATION NUMBER: US/10/534,081	
-> 15 <141> CURRENT FILING DATE: 2005-05-05	
15 <150> PRIOR APPLICATION NUMBER: PCT/JP2003/014139	,
16 <151> PRIOR FILING DATE: 2003-11-06	أنج المستحداد فيستحد المستحد المستحدد
18 <150> PRIOR APPLICATION NUMBER: JP 2002-324632	Dies Not Comply
19 <151> PRIOR FILING DATE: 2002-11-08	Corrected District
21 <150> PRIOR APPLICATION NUMBER: JP 2003-16889	Corrected Diskette Neede
22 <151> PRIOR FILING DATE: 2003-01-27	(DS.I)
24 <150> PRIOR APPLICATION NUMBER: JP 2003-153986	
25 <151> PRIOR FILING DATE: 2003-05-30	•
27 <160> NUMBER OF SEQ ID NOS: 26	•
24 <150> PRIOR APPLICATION NUMBER: JP 2003-153986 25 <151> PRIOR FILING DATE: 2003-05-30 27 <160> NUMBER OF SEQ ID NOS: 26 RORED SEQUENCES 510 <210> SEQ ID NO: 26 511 <211> LENGTH-21 512 <212> TYPE: RNA	DNA/RNA CHON (2307-
512 <212> TYPE: (RNA)	
513 <213> ORGANISM: Artificial Sequence 514 <220> FEATURE:	
514 <220> FEATURE: 515 <223> OTHER INFORMATION: n stands for deoxy ribothymidine	4
517 <400> SEQUENCE: 26	No "Es"
-> 518 nngaacaatc ggtaggctcc g 21	100
220 122 123 123 123 123 123 123 123 123 123	115 1195
	allower.
η	No Es ire allowed ia RNA
/ Isial cource	$\sim RNH$
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See error explanation on page 2. (C:)CRF4\OUTHOLD\Vsr1534081.htm	
://C:\CRF4\OUTHOLD\VsrJ534081.htm	5/13/05

RAW SEQUENCE LISTING ERROR SUMMARY DATE: 05/13/2005

PATENT APPLICATION: US/10/534,081

TIME: 14:59:47

Input Set : A:\3119 USOP.seq.txt

Output Set: N:\CRF4\05132005\J534081.raw

e of <220> Feature(NEW RULES):

quence(s) are missing the <220> Feature and associated headings.

e of <220> to <223> is MANDATORY if <213> ORGANISM is "Artificial Sequence" "Unknown". Please explain source of genetic material in <220> to <223>

ction (See "Federal Register," 6/01/98, Vol. 63, No. 104,pp.29631-32)

ec.1.823 of new Rules)

q#:11,12,21,22

VARIABLE LOCATION SUMMARY

PATENT APPLICATION: US/10/534,081

DATE: 05/13/2005

TIME: 14:59:47

Input Set : A:\3119 USOP.seq.txt

Output Set: N:\CRF4\05132005\J534081.raw

e of n's or Xaa's (NEW KULES)

of n's and/or Xaa's have been detected in the Sequence Listing.

e of <220> to <223> is MANDATORY if n's or Xaa's are present.

<220> to <223> section, please explain location of n or Xaa, and which sidue n or Xaa represents.

#:23; N Pos. 20,21

#:24; N Pos. 1,2

I#:25; N Pos. 20,21

#:26; N Pos. 1,2

DATE: 05/13/2005

VERIFICATION SUMMARY

PATENT APPLICATION: US/10/534,081 TIME: 14:59:47

Input Set : A:\3119 USOP.seq.txt

Output Set: N:\CRF4\05132005\J534081.raw

15 M:270 C: Current Application Number differs, Replaced Current Application No

15 M:271 C: Current Filing Date differs, Replaced Current Filing Date

370 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:11, <213>

GANISM: Artificial Sequence

370 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:11, Line#:370

379 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:12, <213>

GANISM: Artificial Sequence

379 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:12,Line#:379

468 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:21, <213>

GANISM: Artificial Sequence

468 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:21,Line#:468

477 M:258 W: Mandatory Feature missing, <223> Tag not found for SEQ#:22, <213>

GANISM: Artificial Sequence

477 M:258 W: Mandatory Feature missing, <223> Blank for SEQ#:22,Line#:477

488 M:258 W: Mandatory Feature missing, <221> Tag not found for SEQ ID#:23

488 M:258 W: Mandatory Feature missing, <222> Tag not found for SEQ ID#:23

488 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:23 after pos.:0

498 M:258 W: Mandatory Feature missing, <221> Tag not found for SEQ ID#:24

498 M:258 W: Mandatory Feature missing, <222> Tag not found for SEQ ID#:24

498 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:24 after pos.:0

508 M:258 W: Mandatory Feature missing, <221> Tag not found for SEQ ID#:25

508 M:258 W: Mandatory Feature missing, <222> Tag not found for SEQ ID#:25

508 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:25 after pos.:0

518 M:258 W: Mandatory Feature missing, <221> Tag not found for SEQ ID#:26

518 M:258 W: Mandatory Feature missing, <222> Tag not found for SEQ ID#:26

518 M:341 W: (46) "n" or "Xaa" used, for SEQ ID#:26 after pos.:0

518 M:321 E: (1) "t" not allowed in RNA Sequence, NUMBER OF INVALID 't' KEYS:3